



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 :

G01N 21/00, 31/22, 33/72

A1

(11) International Publication Number:

WO 00/54029

(43) International Publication Date:

14 September 2000 (14.09.00)

(21) International Application Number:

PCT/US99/05140

(22) International Filing Date:

10 March 1999 (10.03.99)

(71)(72) Applicant and Inventor: CLEATOR, Iain, G., M.  
[CA/CA]; 1051 Laurier Avenue, Vancouver, British  
Columbia V6H 1Y2 (CA).

(74) Agent: MITCHARD, Leonard, C.; Nixon & Vanderhye  
P.C., 8th floor, 1100 North Glebe Road, Arlington, VA  
22201-4714 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR,  
BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE,  
GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR,  
KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN,  
MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK,  
SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO  
patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,  
GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF,  
BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN,  
TD, TG).

Published

With international search report.

(54) Title: DEVICE AND METHOD FOR SCREENING FECAL OCCULT BLOOD SPECIMENS

122 Mail ☐ Fax ☐  
127 Patient Notified by: Phone ☐ Fax ☐  
107 Doctor Notified by: Phone ☐ Fax ☐

72 70 78 76 96 80 114

112 82 110 102 106 107 98 114 84 90 107 100 114 88 94 116

126 Date Tested: / /  
124 Date Tested: / /

2nd Tier Provincial Laboratory  
1st Tier Doctor's Office

Specimen 1 ~ 118  
Open window and apply evenly from left to right.

Specimen 2 ~ 118  
Open window and apply evenly from left to right.

Specimen 3 ~ 118  
Open window and apply evenly from left to right.

Results: 108 All Negative ☐ 120  
1 Positive ☐  
2 Positive ☐  
All Positive ☐

Pt Name 107  
DOB Sex 116  
PHN  
Tel Fax  
Dr Name  
Tel Fax

(57) Abstract

A specimen testing device having first (82) and second (80) panels and a reagent sheet (128) therebetween. The first panel has an aperture (102) with a cover (106). The sheet has first (138) and second (144) portions and a fecal specimen is smeared on the sheet so as to cover the first and second portions. The second panel has an aperture (84) and a cover (90) which overlies the first and second portions of the reagent sheet.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**DEVICE AND METHOD FOR SCREENING  
FECAL OCCULT BLOOD SPECIMENS**

The present invention relates to a device for determining the presence of  
5 occult blood in fecal matter, and to a method of testing using such a device.

**CROSS-REFERENCE TO RELATED APPLICATION**

The present application is a continuation-in-part application of application  
10 Serial No. 08/658,543, filed June 5, 1996 (herein incorporated by reference), now  
allowed.

**BACKGROUND OF THE INVENTION**

15 For many years it has been recognized that colorectal cancer and large polyps  
bleed into the stool. Use of guaiacum for the detection of blood was described in  
"The Scarlet Letter" by Sherlock Holmes as being sensitive but unreliable. The  
problem has been that guaiacum detects oxidizing agents of which blood is only one,  
and red meat and other oxidizing agents also can test positive.

20 A typical form of fecal occult blood testing known as Hemoccult II® utilizes a  
guaiac-treated test sheet upon which a specimen of fecal material is smeared. A  
developing solution is applied to the opposite side of the sheet yielding a blue color  
which suggests that blood may be present in the fecal specimen. The drawback of  
25 this approach is that a high percentage of false positives is obtained from patients  
who in fact do not have a cancer or polyp. A false positive result in the test often  
results in expensive testing of patients who in fact have simply consumed a lot of  
meat just prior to the test.

30 One approach to overcome the high incidence of false positives has been to  
make the test paper sensitive enough to detect up to 2% of blood but not sensitive  
enough to produce too many false positives. A disadvantage of this compromise

approach is that because of the reduced sensitivity, a number of cancers and polyps are not detected.

In an effort to increase sensitivity, the Hemocult® SENSE system was devised. However, this system results in a higher incidence of false positives requiring unnecessary invasive tests.

Alternative approaches to cutting down on false positives have involved placing patients on specific diets designed to restrict intake of animal proteins and other sources of false positives. Despite these efforts, large numbers of false positives still occur. One reason for this is the very long time it can take for food to pass through the bowel in certain patients.

A specific test for human hemoglobin has been devised. This test - the HemeSelect® test - theoretically registers only human hemoglobin and not animal blood from meat or other agents and therefore theoretically does not require the patient to be on a special diet. Another possible advantage is that human blood from the upper gastrointestinal tract may be digested by the time it reaches the stool and the only human blood detected would be that from the distal bowel. A serious drawback of the HemeSelect® test is that it is expensive for a screening test and requires specially trained individuals to perform and read the test.

A need therefore exists for an inexpensive and easy-to-use test which has a minimal incidence of false positives and can be readily used in a doctor's office. The invention of the present application meets that need.

### **SUMMARY OF THE INVENTION**

In accordance with one aspect of the present invention, there is provided a testing device including a first panel, a first aperture in the first panel, a second panel and a second aperture in the second panel opposite the first aperture. A sheet is deposited between the first and second panels for receiving a specimen through the

first aperture. The sheet has first and second portions disposed on opposite sides of a longitudinal axis of the first panel onto which the specimen is placed. A first aperture cover is mounted on the first panel and overlies the first aperture. A second aperture cover is mounted on the second panel and overlies the first portion of the sheet. A third aperture cover is also mounted on the second panel and overlies the second portion of the sheet. The second and third covers are movable independently of each other to selectively expose the first and second portions of the sheet.

According to a preferred aspect, the first and second apertures are rectangular and extend transversely across the first and second panels. The first cover is preferably hingedly mounted along a hinge line extending transversely of the first panel, and the second cover is preferably hingedly mounted along a hinge line extending longitudinally of the second panel. The third cover is preferably hingedly mounted along a hinge line extending longitudinally of the second panel.

The sheet may be a single piece of paper, typically filter paper, with a hydrophobic dividing strip separating the first and second portions to prevent or minimize possible leakage of developing solution from the first portion to the second portion. Alternatively, the first and second portions may be comprised of two separate pieces of filter paper separated by a hydrophobic barrier. The paper sheet may be impregnated with reagent (e.g. guaiac) over the entire area thereof, or may be impregnated with reagent (guaic) only on the first portion and plain unimpregnated filter paper for the second portion. The hydrophobic material may be wax or other suitable solid organic material.

In another preferred aspect, the first and second portions are provided with indicating means for locating where specimen is to be placed on the sheet through the first aperture and where developing solution is to be placed on the first portion through the second aperture. The indicating means may comprise printed circles or other shapes on the sheet as a visible indicator to the user of where to place the specimen. At least one of the indicating means, usually that in the second portion, is

preferably comprised of a perforated zone which is removable from the sheet.  
Preferably, the third cover overlies the removable zone.

In accordance with a particularly preferred aspect of the invention, the first  
5 panel has three apertures extending transversely of the first panel and the second  
panel has two apertures opposite the three apertures which extend longitudinally of  
the second panel. A support panel for the sheet is provided between the first and  
second panels with apertures corresponding to the apertures in the first panel. Each  
of the three apertures in the first panel has a respective cover hingedly mounted  
10 along a hinge line extending transversely of the longitudinal axis of the panel and  
overlying a respective aperture and respective first and second portions of the sheet.  
A single second cover is hingedly mounted on the second panel along a hinge line  
extending longitudinally of the second panel and overlies the three first portions. A  
single third cover is hingedly mounted on the second panel along a hinge line  
15 extending longitudinally of the second panel and overlies the three second portions.  
The second and third covers are selectively movable with respect to each other to  
expose the first and second portions as desired.

According to another preferred feature, the device may carry printed matter on  
20 the first panel such as patient details and instructions for opening of the respective  
covers to reveal the apertures on which the specimen is smeared. Printed matter  
may also be provided on the second panel, such as instructions to the doctor for  
conducting testing of specimens.

25 A further preferred feature of the device is that sticking of the cover to the  
specimen is prevented by providing the inside surfaces of the respective aperture  
covers with a non-stick coating, such a wax layer.

According to yet another aspect of the invention, there is provided a method of  
30 analyzing a specimen using a specimen testing device according to the invention.  
The method includes the steps of obtaining a specimen, for example a fecal  
specimen, opening an aperture cover on the first panel, smearing a portion of the

specimen on the first and second portions of the sheet through the first aperture, and closing the first aperture cover to overlie the aperture and the specimen on the sheet.

A first analysis of the specimen is carried out by opening the aperture cover on the second panel to expose the first portion of the sheet carrying the specimen and  
5 applying a reagent to the exposed first portion through the second panel. Depending on the outcome of the first analysis, the other aperture cover on the second panel is selectively opened to expose the second portion of the sheet carrying the specimen and further analysis is carried out, for example in a laboratory.

10 In another embodiment, the invention provides a panel suitable for use in a specimen testing device. The panel includes a plurality of first apertures on a first portion disposed on one side of a longitudinal axis, with each of the apertures having a cover. A plurality of second apertures are provided on a second portion disposed  
15 on the other side of the longitudinal axis, with each of the second apertures having a cover, and with the first apertures being disposed opposite to the second apertures. Each of said first apertures on said first portion has an axis extending transversely of said longitudinal axis, and each of the second apertures on said second portion has an axis extending longitudinally of the longitudinal axis. A fold line extends parallel to  
20 the longitudinal axis, and is positioned such that when the panel is folded along the fold line, the first portion overlies said second portion and the apertures in said first portion are opposite the apertures in said second portion.

The invention further provides a specimen testing device comprising a panel as defined above folded along the fold line with the first and second portions  
25 overlying each other, and a specimen receiving sheet sandwiched between the overlying first and second portions. The specimen receiving sheet typically has at least one hydrophobic strip defining specimen receiving regions which are accessible through the apertures. More usually, the sheet has a hydrophobic strip extending longitudinally of the sheet and at least one hydrophobic strip, more usually two strips,  
30 extending transversely on and crossing the longitudinally extending strip.

The present invention enjoys numerous advantages. In particular, the device is embodied in one card which readily facilitates transference between the doctor and the patient and between the doctor and another testing location, such as a laboratory. The device is easy to use by the patient and is inexpensive to produce. A particularly important advantage is that the device allows a first test to be carried out by the doctor and, in the event that a specimen is positive, subsequent testing can be carried out on the same specimen.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The invention will now be described with reference to the accompanying drawings, in which:

Figure 1 is a perspective view of the device of the invention showing one cover in the open position;

Figure 2 is a perspective view of the device of Figure 1 as viewed from the other side and showing one cover in the open position;

Figures 3a, 3b and 3c are an exploded view of the device of Figure 1 showing the outer panels and the support panel carrying the sheet therebetween;

Figures 4a, 4b and 4c show perspective views of alternative embodiments of the device of the invention.

Figure 5 is a plan view of a filter embodiment showing the outside configuration of a foldable panel.

Figure 6 is a plan view of the inside configuration of the foldable panel of Figure 5.



Figure 7 is a plan view of a simple receiving sheet which is positionable inside the foldable panel of Figure 5 when the latter is folded; and

Figure 8 is a perspective view of the embodiment in partially open configuration comprised of a foldable panel of Figures 5 and 6 and a sample receiving sheet of Figure 7 therebetween.

### **DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

Referring to Figures 1-3, where like numbers refer to the same elements, a device of the invention, generally referenced 2, is shown which includes first and second panels 4, 6 with a support panel 8 disposed between the first and second panels 4, 6 carrying a absorbent sheet 9 on which a specimen is placed. The first panel 4 has three rectangular apertures 10, 12, 14 extending transversely of a longitudinal axis L of the first panel. Each aperture has a respective cover 16, 18 and 20 hingedly mounted to the first panel along a hinge line 22, 24, 26 extending transversely of the longitudinal axis L. Each cover 16, 18, 20 is hingedly movable independently of the other between a closed position as shown for covers 18 and 20 where the cover overlies the aperture, and an open position as shown for cover 16 where the aperture 10 and underlying sheet 9 are exposed.

The second panel 6 includes two rectangular apertures 28, 30 extending longitudinally of the longitudinal axis L and positioned opposite the transversely extending apertures 10, 12, 14 in the first panel 4. Aperture 28 is provided with a cover 32 and aperture 30 is provided with a cover 34. Covers 32 and 34 are each hingedly mounted along a respective hinge line 36, 38, each of which extends parallel to longitudinally axis L of second panel. Each cover 32, 34 is movable independently of each other between a closed position as shown for cover 34 where the cover overlies the aperture 30, and an open position as shown for cover 32 where the aperture 28 and underlying sheet 9 are exposed.

The support panel 8 is positioned between the first and second panels 4, 6 and supports sheet 9. Sheet 9 is made of an absorbent material, and is typically filter paper impregnated with a reagent which will react with hemoglobin components from blood and a peroxide solution to form a colored compound. Examples of suitable reagents are guaiac, tetramethyl benzidine, orthotoluidine and other similar chromogens. In the embodiment illustrated herein, the reagent impregnated in sheet is guaiac. The support panel 8 has apertures 11 corresponding to the apertures in the first panel.

Referring to Figure 3, Figures 3a and 3c show the first and second panels 4, 6 with the respective first and second covers overlying the apertures. In Figure 3c, the second panel is oriented upwards for ease of viewing. Figure 3b shows sheet 8 disposed between panels 4 and 6. In the embodiment illustrated, the sheet 9 is a single piece of filter paper having first and second portions 40, 42 separated from each other by a dividing region 44, which may comprise a hydrophobic material, for example wax. The first portion 40 is impregnated with the reagent and the second portion 42 is not impregnated with reagent. It is possible however for both portions to be impregnated with reagent provided impregnation of the second portion does not adversely affect any subsequent testing which might be conducted using the second portion. The first and second portions are visible through the apertures when the respective covers are in the open position. Each of the portions 40, 42 is provided with indicating means 46, 48, typically circular zones in dashed outline, in order to assist the user in knowing where to smear sample on the sheet. Moreover, the zones 48 have perforations 50 to enable the zones 48 to be removed from the sheet 9 for further analysis (described in more detail below).

The outer panels 4, 6 and the support panel 8 are preferably formed of paper or cardboard in which the apertures are die-cut along with perforations in the outer panels to form the covers. The panels could equally be made from other suitable materials such as a plastic material. A tab 52 is formed on each cover and is engageable with a slit 54 to maintain the cover in the closed position. The slit 54 may be formed during the die-cutting operation mentioned above.

The sheet 9 is typically cut from a length of filter paper with a repeating pattern of perforations 50 corresponding to the zones 48. The sheet 9 may be formed from one piece of filter paper with the hydrophobic dividing region 44 separating the first and second portions. Alternatively, the first and second portions may be two  
5 separate pieces of filter paper each constituting the first and second portions of the sheet, and separated by a hydrophobic region.

The device is assembled by overlying the panels 4 and 6 with the support  
10 panel 8 carrying the sheet 9 therebetween. The assembly is held together with a suitable glue or adhesive. In order to minimize sticking of the covers to the specimen, the panels 4 and 6 are provided on their inner surfaces 56, 58 with a layer of non-stick material, typically a wax layer. In this way, the perforated zones 48 carrying the specimen can be removed without them sticking to the inner surfaces of  
15 the covers on the first and second panels.

The panels 4, 6 and support panel 8 are assembled such that the apertures in the first panel and the support panel are opposite the apertures in the second panel, and the first and second portions on the sheet are aligned with the apertures in each  
20 panel. In this way, specimens placed on the sheet through the apertures in the first panel can be accessed and tested through the apertures in the second panel.

The first panel 4 may be provided with appropriate printed matter at the top and bottom to assist the user. For example, the patient's name, address and  
25 instructions on how to use the device may be printed at the top of the first panel in the region 60. Printed matter may also be provided at the top and bottom of the second panel. For example instructions to the doctor as to how to carry out testing by opening respective covers on the second panel 6 may be provided at 62.

30 In use, where a fecal sample is to be analyzed, a cover on the first panel 4 of the device is opened and a fecal specimen is smeared through the aperture on the first and second portions of the exposed sheet. The cover is then closed. A second

fecal sample taken at a different time as a result of a different bowel movement is then smeared onto the first and second portions of the sheet through the second aperture on the first panel and the cover is closed. The third specimen from yet a different bowel movement at a different time is smeared onto the first and second portions through the third aperture on the first panel and the cover is closed. To conduct a first analysis, the cover on the second panel covering the first portions on which specimen has been applied is opened and developer solution is applied to the circular zone 46 of each first portion. If a specimen tests positive, as evidenced, for example, by the development of a blue color, the cover on the second panel covering the second portions is opened together with the cover on the first panel, and the respective exposed perforated circular zone 48 of the second portion of the sheet carrying the positive specimen is removed with both covers open, e.g. by being punched out of the sheet, and subjected to further analysis (e.g. an immunochemical test).

Figures 4a and 4b show an alternative embodiment of the device of the invention including a first panel 4' with one aperture 10' extending transversely of longitudinal axis L and a cover 16' overlying the aperture 10', a second panel 6' with two apertures 28', 30' each with an independently moveable cover 32', 34' hingedly mounted along hinge lines 36', 38' extending parallel to axis L, and a support panel 8' positioned between the first and second panels with a sheet 9' having first and second portions 40', 42' divided by a dividing region 44'. The covers on the first and second panels overlie the first and second portions 40', 42' of sheet 9'.

Figure 4c shows a structural variation of the device of Figures 4a and 4b where the second panel 6' has a single transversely extending aperture 28' opposite the single aperture in the first panel with two independently moveable covers 30', 32' hingedly mounted along hinge lines 36', 38' extending transversely of axis L. The device of Figure 4a-4c is constructed and used in the same manner as for the embodiment described with reference to Figures 1-3, and is adapted for situations where it is not necessary to collect and analyze a plurality of specimens.

Further modifications of the invention will be readily apparent to those skilled in the art. For example, the invention has been described above with reference to the preferred embodiment where three transversely extending rectangular apertures are present in the first panel 4 and two longitudinally extending rectangular apertures are in the second panel 6. The invention, however, is not limited to devices comprising three apertures in the first panel. Embodiments comprising fewer apertures in the first and second panels are described above. Other embodiments with more or less than three apertures in the first panel may be constructed and used as the analytical situation demands.

In the above description, the apertures are illustrated as rectangular. However, any desired shape may be used, for example oval or circular.

The device has been described as including a support panel 8. However, it is possible, depending on the dimensions of the device and the thickness of the filter paper, to dispense with the support panel and place the sheet 9 directly between the first and second panels 4, 6.

Figures 5 through 8 show alternative embodiments of the invention. In a first aspect, illustrated in Figures 5 and 6, there is shown a foldable panel 70 of the invention. The panel is typically made of paper or cardboard, but may also be fabricated of plastic. The panel has a first outer side 72 and an opposite inner side 74. The panel 70 has a fold line 76 extending along a longitudinal axis 78 forming a first portion 80 on one side of the fold line and a second portion 82 of the other side of the fold line. The first portion 80 is provided with three rectangular apertures 84, 86, 88 extending transversely with respect to the longitudinal axis 78. Each aperture has a respective cover 90, 92, 94 hingedly mounted to the first portion 80 along a respective hinge line 96, 98, 100 extending longitudinally of the axis 78. Each cover 92, 92, 94 is hingedly movable independently of the others between closed and open positions, similar to that shown in Figure 1.

The second portion 82 includes two rectangular apertures 102, 104 extending longitudinally of the axis 78 and opposite the transversely extending apertures 84, 86, 88. Aperture 102 is provided with a cover 106 and aperture 104 provided with a cover 108. Covers 106 and 108 are each hingedly mounted along a respective hinge line 110, 112, each of which extends longitudinally of the axis 78. Each cover 106, 108 is movable independently of the other between a closed portion and an open portion, similar to that shown in Figure 2.

The first portion 80 is provided with locations 114 for completion of date(s) on which samples are collected from the patient and patient identifying information 116. In addition, each cover 92, 92, 94 is provided with specimen identification information 118 together optionally with instructions for application of a specimen sample after the cover is opened.

The second portion 82 is provided with locations 120 for reporting results of testing, together with boxes 122 for completion of action taken with respect to the patient and/or doctor. The covers 106, 108 are provided with respective information 124, 126 regarding person or entity conducting analysis of the specimen. Tabs 107 are formed on each cover to assist the user in opening the cover.

Figure 6 shows the other (inner) side 74 of the panel 70. The surfaces 75, 77 are typically coated with a hydrophobic material, preferably a waterproof glue such as wax containing an adhesive. The purpose of this hydrophobic material is to prevent contamination or mixing of individual specimens applied through an aperture into the region of an adjacent aperture. In this way, the risk of a specimen spreading and contacting other specimen(s) is minimized. The hydrophobic material also aids in minimizing sticking of the covers to the specimen.

Figure 7 shows a sample receiving sheet 128 sized to be received between portions 80, 82 when folded over each other. Sheet 128 is typically made of an absorbent material, usually filter paper, which is impregnated with a reagent which will react with hemoglobin components from blood and a peroxide solution to form a

colored compound. Examples of suitable reagents are given above in connection with the discussion of sheet 9 shown in Figure 3(b). To prevent seepage of reagent from one area to another, sheet 128 is provided with a strip of hydrophobic material 130 such as wax extending longitudinally along axis 132 and two strips of hydrophobic material 134, 136 such as wax extending transversely of axis 132 and crossing strip 130. The intersecting pattern of strips 130, 134, 136 defines six regions 138, 140, 142, 144, 146, 148. Regions 144, 146, 148 are each provided with indicating means 150, typically circular zones shown in dashed outline to assist the user in browsing where to smear the sample on the sheet. The zones may be provided with perforations 152 to enable the zones to be removed from the sheet 128 for subsequent analysis. As with sheet 9 discussed above the sheet 128 may, if desired, be supported on a support member (not shown).

The sheet 128 may be formed from one piece of absorbent paper with hydrophobic strips defining the regions 138-148. Alternatively, the sheet 128 may be constructed from different absorbent papers, each optionally containing different reagents, with the hydrophobic strips bonding the different papers together to form the sheet. In a further modification, the six regions 138, 140, 142, 144, 146, 148 may be comprised of different paper(s) of varying textures, and carrying different colors of reagent. Each region is then bonded together with hydrophobic material to form the completed sheet 128.

The term "texture" as used herein in connection with the sheet 128 means that the fibrous structure of the sheet material, e.g. paper, may be varied depending on the desired degree of adherence of the sample. The paper should be sufficiently absorbent so that specimen does not easily separate from the sheet after application thereto, for example as the specimen dries out. Generally, the sheet (paper) is chosen such that the fibrous structure of the paper permits at least some of the sample to permeate through the paper and be visible on the other side to that on which the specimen is applied. Generally, the sheet material should be such that at least about 20% by weight, for example about 25 to about 50% by weight, of the specimen permeates through the sheet and is visible on the other side.

Figure 8 is a device 154 of the invention constructed using a foldable panel 70 and a sheet 128. The device is constructed placing a sheet 128 on an inside surface 74 with the regions 138-148 aligned with apertures 84, 86, 88. The panel 70 is then  
5 folded along fold line 76 to bring the inner surface 74 into face-to-face contact with each other, sandwiching the sheet 128 therebetween with regions in registration with apertures 84, 86, 88 and apertures 102, 104. The adhesive present on surfaces 74 permits the surface to be adhered to each other to maintain the resulting device in the folded closed state.

10 The device 154 is used in the same way as described above for the device illustrated in Figures 1-3.

The invention has been described with reference to analysis of fecal samples  
15 for stool occult blood. However, the device may be used for screening and testing of other biological specimens, for example blood and AIDS tests, urine tests and pregnancy tests.

While the present invention has been described in considerable detail, the  
20 invention disclosed herein is not limited to the detailed description, and is to be afforded the full scope of the appended claims and all equivalents thereto.



**WHAT IS CLAIMED IS:**

1. A specimen testing device, comprising:
  - a first panel;
  - 5 a first aperture in said first panel;
  - a second panel;
  - a second aperture in said second panel opposite said first aperture;
  - a sheet disposed between said first and second panels for receiving a specimen through said first aperture, said sheet in said first aperture having first and
  - 10 second portions disposed on opposite sides of a longitudinal axis of said first panel;
  - a first aperture cover mounted on said first panel and overlying said first aperture;
  - a second aperture cover mounted on said second panel and overlying said first portion of said sheet;
  - 15 a third cover aperture cover mounted on said second panel and overlying said second portion of said sheet; said second and third aperture covers being movable independently of each other to expose said first and second portions respectively.
2. A device according to claim 1, wherein said first and second apertures
- 20 extend transversely across said first and second panels.
3. A device according to claim 2, wherein said apertures are rectangular.
4. A device according to claim 1, wherein said first cover is hingedly
- 25 mounted along a hinge line extending transversely of said first panel.
5. A device according to claim 1, wherein said second cover is hingedly mounted along a hinge line extending transversely of said second panel.
- 30 6. A device according to claim 1, wherein said third cover is hingedly mounted along a hinge line extending transversely of said second panel.

7. A device according to claim 1, wherein said first and second portions are divided by a dividing region.

8. A device according to claim 7, wherein said dividing region comprises a hydrophobic strip.

9. A device according to claim 1, wherein said first and second portions are provided with indicating means for locating where specimen is to be placed on the sheet.

10. A device according to claim 9, wherein at least one of said indicating means is comprised of zone which is removable from said sheet.

11. A device according to claim 10, wherein said zone is defined by perforations.

12. A device according to claim 10, wherein said third cover overlies said removable zone.

13. A device according to claim 1, wherein said first panel has three apertures extending transversely of said first panel and said second panel has two apertures extending longitudinally of said second panel, said apertures in said second panel being opposite said apertures in said first panel.

14. A device according to claim 13, wherein each of said three apertures in said first panel has a respective cover and wherein a single cover is hingedly mounted on said second panel along a hinge line extending longitudinally of said second panel and overlies said first portion in each of said three apertures, and wherein a single third cover is hingedly mounted on said second panel along a hinge line extending longitudinally of said second panel and overlies said second portions in each of said three apertures, said second and third covers being selectively movable with respect to each other to expose said first and second portions.

15. A device according to claim 1, wherein said first and second panels have printed matter thereon.

5 16. A device according to claim 1, wherein an inner surface of said first and second covers is provided with a non-stick wax layer.

17. A device according to claim 1 wherein said sheet is supported on a support panel disposed between said first and second panels.

10 18. A method of analyzing a specimen using a specimen testing device including a first panel having a first aperture, a second panel having a second aperture opposite the first aperture and a sheet disposed between the first and second panels for receiving a specimen through the first aperture, said sheet in said  
15 first aperture having first and second portions disposed on opposite sides of a longitudinal axis of the first panel, a first aperture cover mounted on the first panel and overlying the first aperture, a second aperture cover mounted on the second panel and overlying the first portion of the sheet and a third aperture cover mounted on the second panel and overlying the second portion of the sheet, said second and  
20 third covers being movable independently of each other to expose the first and second portions respectively, said method comprising the steps of:

(a) obtaining a specimen;

(b) opening a first cover on said first panel to expose said first and second portions through said first aperture;

25 (b) smearing a portion of said specimen on said first and second portions through said first aperture;

(c) closing said first cover to overlie said first aperture;

(d) opening a second cover on said second panel to expose said first portion of said sheet carrying said specimen;

30 (e) applying a reagent to said first portion of said sheet; and

(f) selectively opening said third cover on said second panel and testing said specimen on said second portion.

19. A method according to claim 18, wherein a zone of said second portion is removed from said sheet for further analysis.

5 20. A method according to claim 18, wherein the specimen is a fecal specimen.

21. A panel suitable for use in a specimen testing device, said panel having an outer and an inner surface and a longitudinal axis, said panel comprising:

10 a plurality of first apertures on a first portion disposed on one side of said longitudinal axis, each of said first apertures having a cover;

15 a plurality of second apertures on a second portion disposed on the other side of said longitudinal axis, each of said second apertures having a cover, said first apertures being disposed opposite said second apertures;

20 each of said first apertures on said first portion having an axis extending transversely of said longitudinal axis, each of said second apertures on said second portion having an axis extending longitudinally of said longitudinal axis;

25 a fold line extending parallel to said longitudinal axis, said fold line being positioned such that when the panel is folded along said fold line, said first portion overlies said second portion and said apertures in said first portion are opposite said apertures in said second portion.

22. A panel according to claim 21, wherein each aperture in said first and second portions is rectangular.

30 23. A panel according to claim 21, wherein said first portion contains three apertures and said second portion contains two apertures.

24. A panel according to claim 21, wherein said first portion contains on its outer surface locations for completion of patient identification information, dates upon which respective specimens were collected, and specimen identification information on respective aperture covers.

5

25. A panel according to claim 21, wherein said second portion contains on its outer surface locations for reporting analysis results and locations on each aperture cover for information regarding analysis of a specimen.

10

26. A panel according to claim 21, wherein said inner surface is provided with a hydrophobic adhesive.

27. A panel according to claim 21, and further including a specimen receiving sheet disposed adjacent an inner surface of said panel.

15

28. A panel according to claim 27, wherein said specimen receiving sheet has at least one hydrophobic strip defining specimen receiving regions which are accessible through said apertures.

20

29. A panel according to claim 28, wherein said sheet has a hydrophobic strip extending longitudinally of said sheet and at least one hydrophobic strip extending transversely of and crossing said longitudinally extending strip.

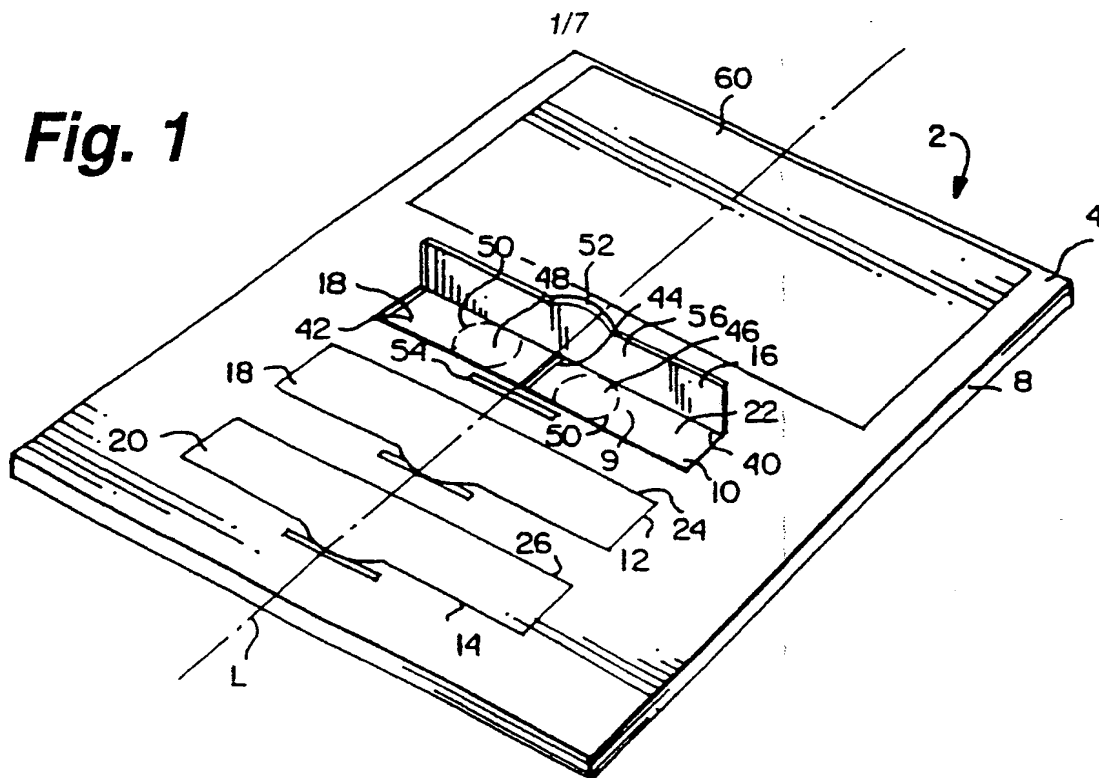
25

30. A panel according to claim 28, wherein said specimen receiving regions are comprised of papers of different textures.

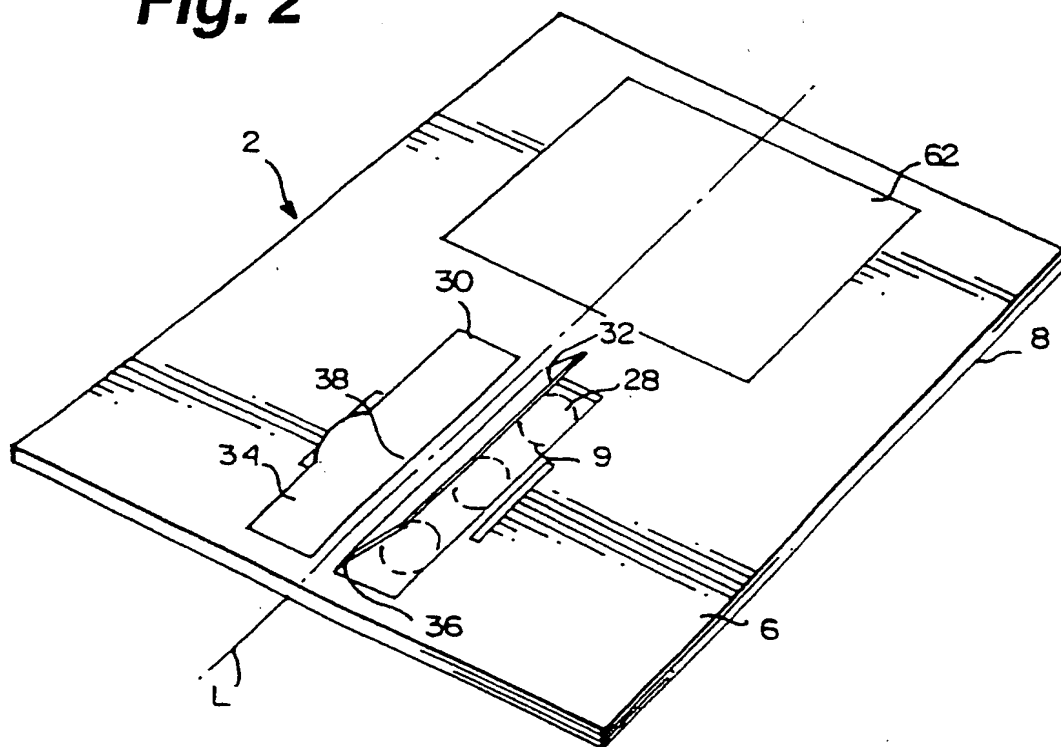
30

31. A specimen testing device comprising a panel as claimed in claim 21 folded along said fold line with said first and second portions overlying each other and a specimen receiving sheet sandwiched between said overlying first and second portions.

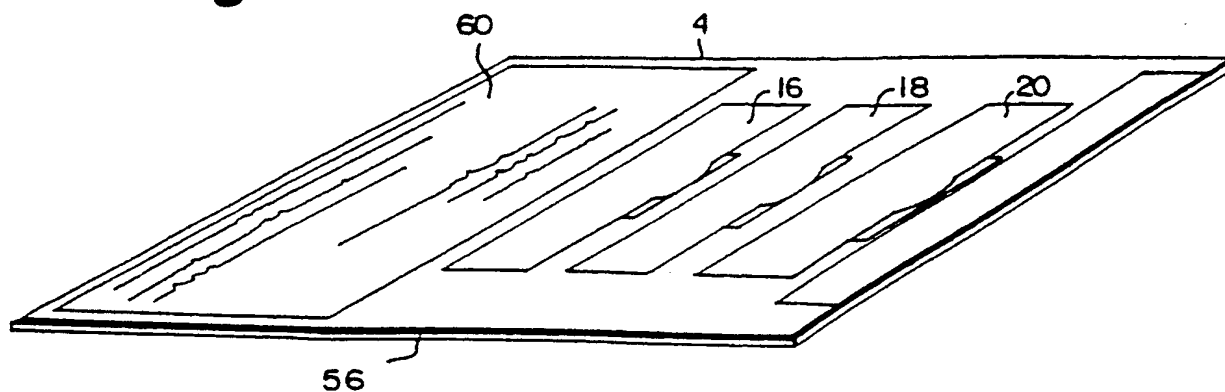
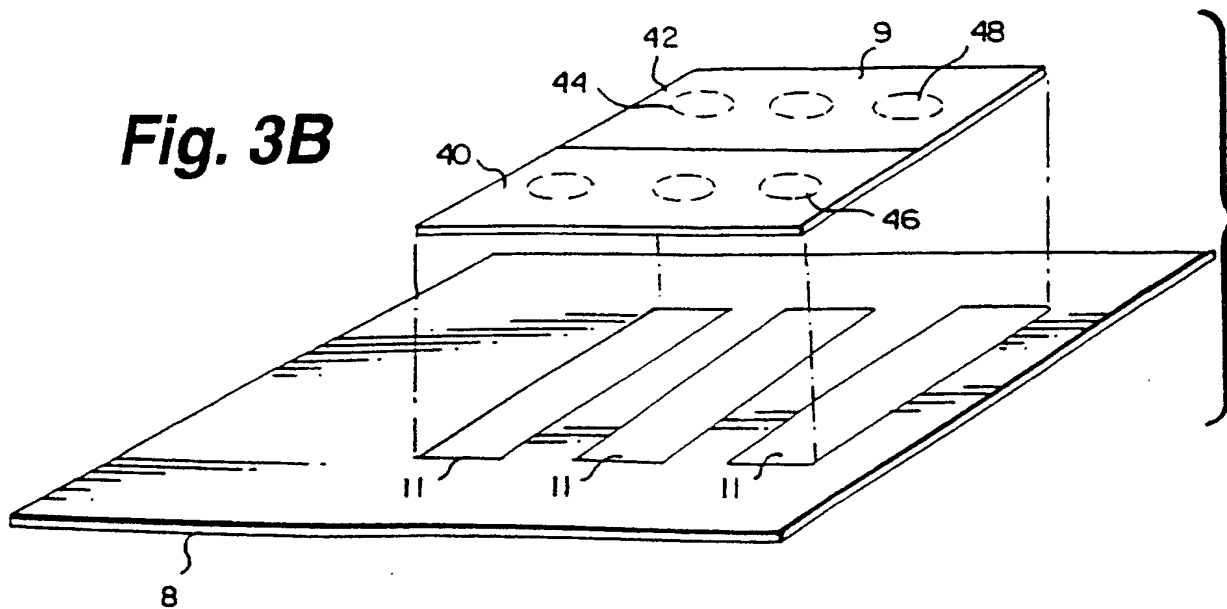
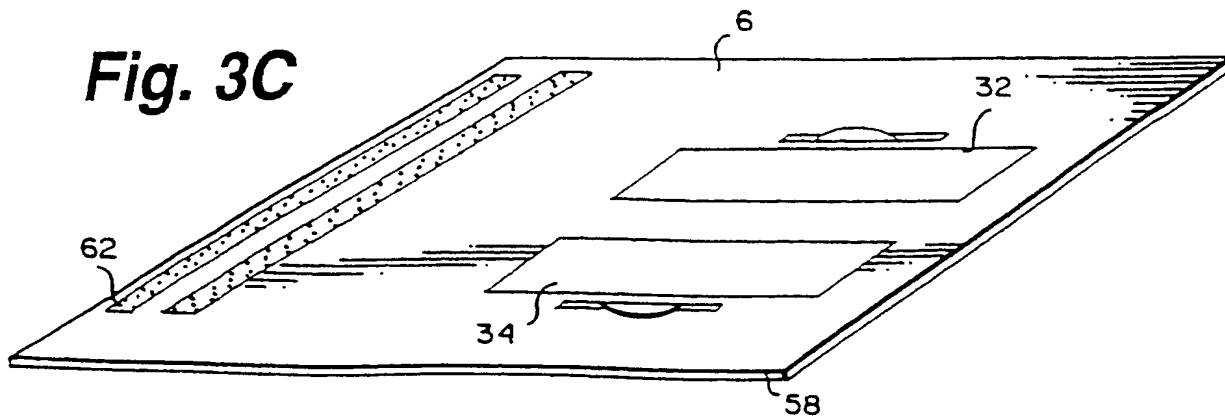
**Fig. 1**

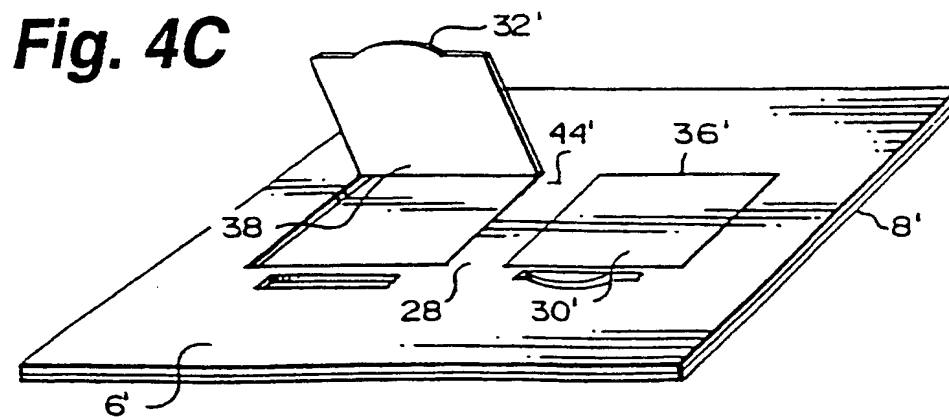
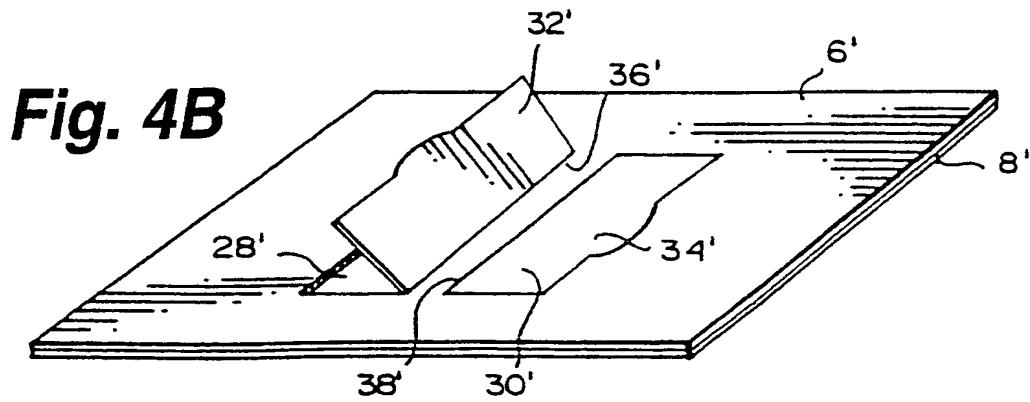
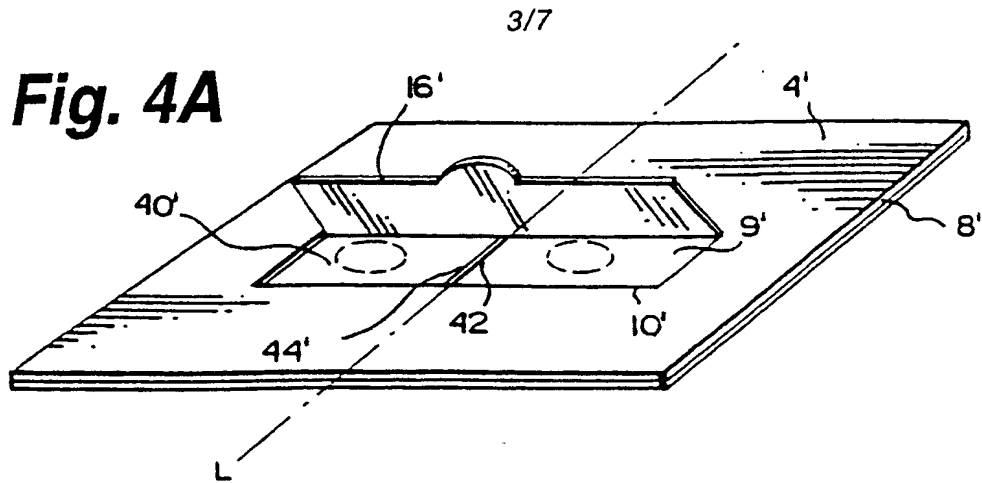


**Fig. 2**



2/7

**Fig. 3A****Fig. 3B****Fig. 3C**





21

72 70 78 Fold

122 Mail ☐ Mail ☐

107 Patient Notified by: Phone ☐ Fax ☐ Mail ☐

107 Doctor Notified by: Phone ☐ Fax ☐ Mail ☐

82 112 110 102 106 107 126 124

2nd Tier Provincial Laboratory Date Tested: 1st Tier Doctor's Office Date Tested:

108 Results: ☐ All Negative ☐ 1 Positive ☐ 2 Positive ☐ All Positive

80 96 90 84 Specimen 1 ~ 118 Open window and apply evenly from left to right. Date: 114

98 107 92 86 Specimen 2 ~ 118 Open window and apply evenly from left to right. Date: 114

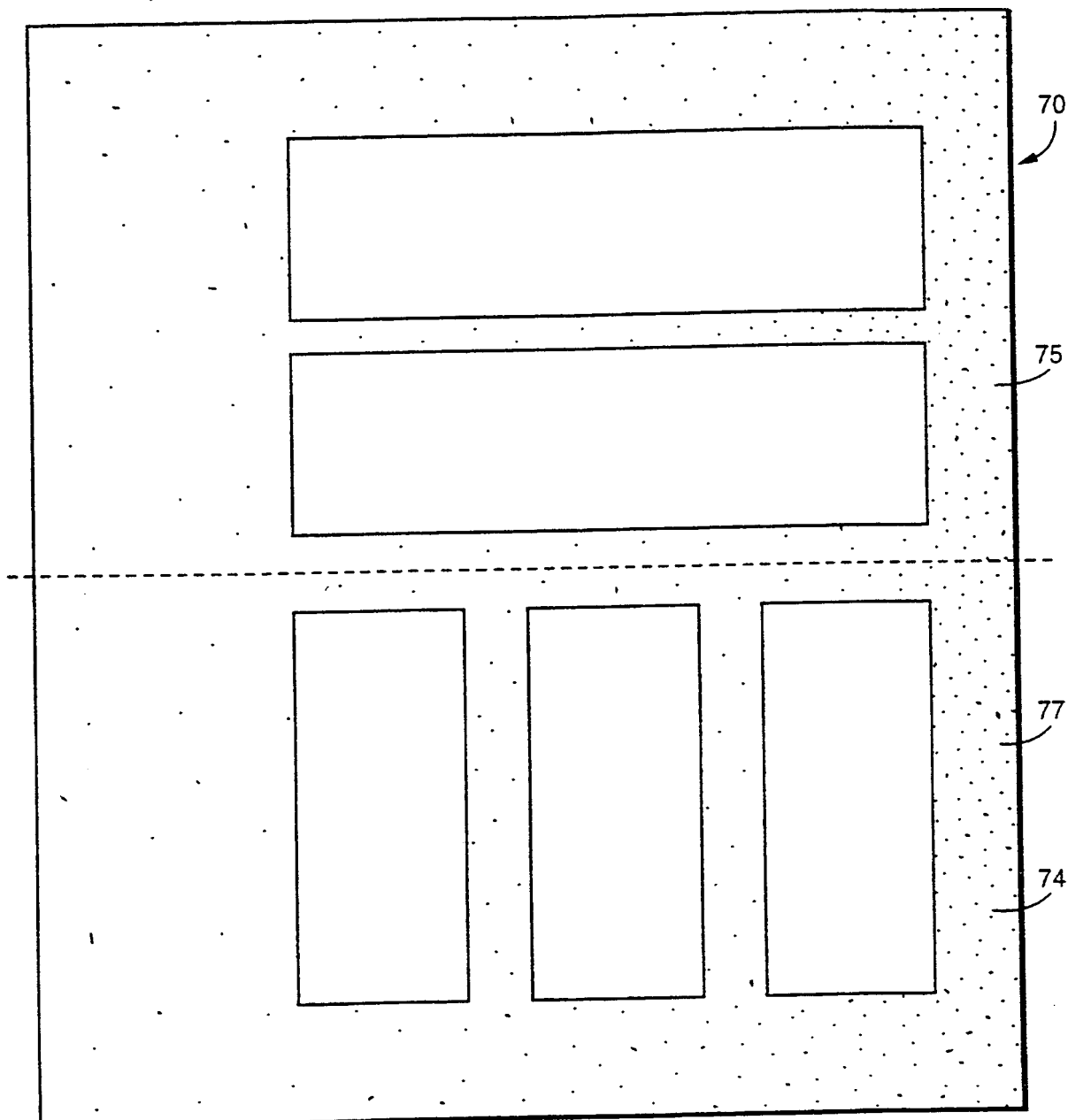
100 107 94 88 Specimen 3 ~ 118 Open window and apply evenly from left to right. Date: 114

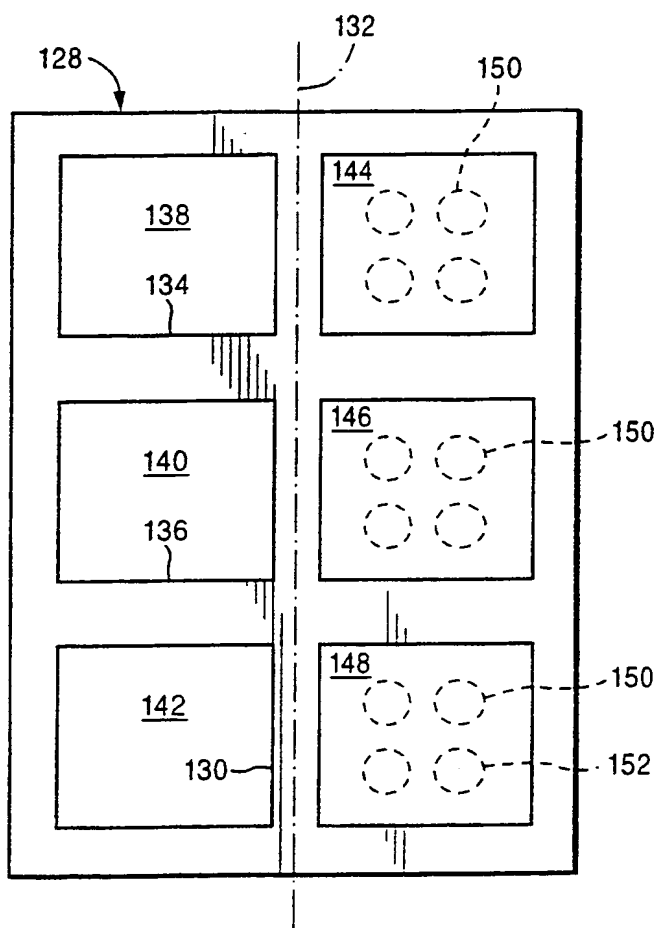
107 Pt Name DOB Sex PHN Tel Fax Dr Name Tel Fax

116

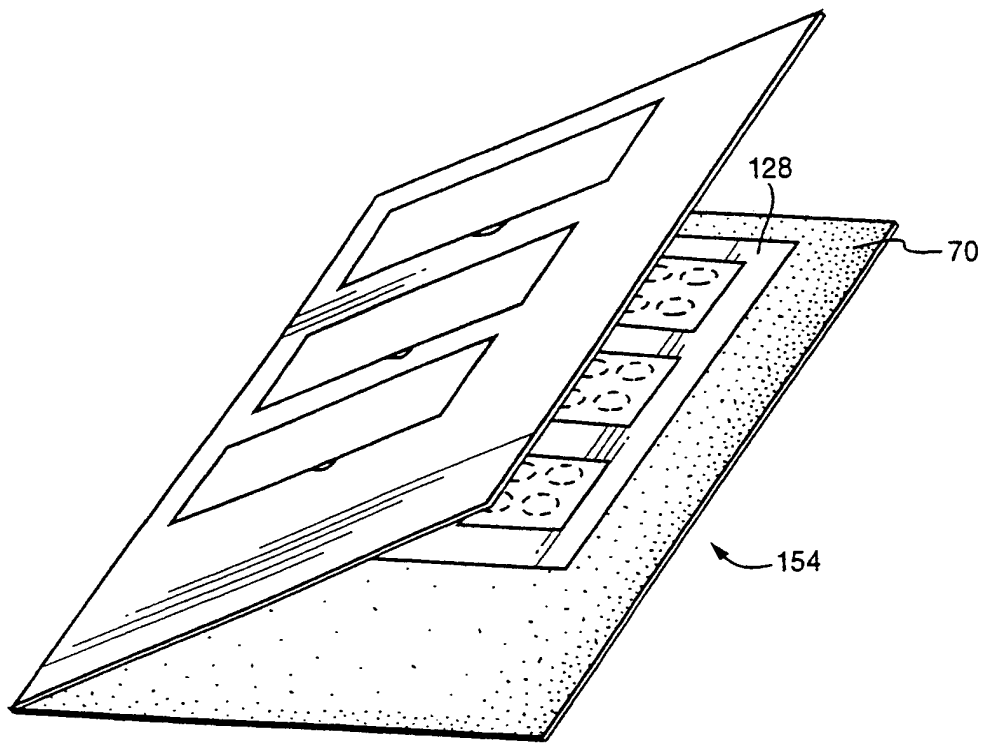
**Fig. 5**

5/7

**Fig. 6**

**Fig. 7**

**Fig. 8**



## INTERNATIONAL SEARCH REPORT

 International application No.  
PCT/US99/05140

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : G01N 21/00, 31/22, 33/72

US CL : 422/55, 56, 57, 58, 61; 436/66

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 422/55, 56, 57, 58, 61; 436/66

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ----- Y	US 3,996,006 A (PAGANO) 07 December 1976, Figs. 1-3, col. 1, lines 55-68, col. 2, lines 1-40.	1-2, 4-9, 17-18, 20 ----- 3, 13-14
Y	US 4,645,743 A (BAKER et al) 24 February 1987, col. 4, lines 47-55, Fig. 5.	10-12, 19
Y	US 5,182,191 A (FAN et al) 26 January 1993, Fig. 1, col. 6, lines 25-36.	15
Y	US 4,259,964 A (LEVINE) 07 April 1981, col. 2, lines 5-10, col. 3, lines 5-10, 35-40, Fig. 5.	16
X	US 5,747,344 A (CLEATOR) 05 May 1998, see entire document.	1-20



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

22 APRIL 1999

Date of mailing of the international search report

05 MAY 1999

 Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

SHARIDAN CARRILLO

Telephone No. (703) 308-0661

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/05140

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
1-20

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/05140

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claim(s) 1-20, drawn to a specimen testing device and method.

Group II, claim(s) 21-31, drawn to a panel.

The inventions listed as Groups I and II do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the special technical feature of the Group I invention is a sheet disposed between the first and second panels for receiving a specimen through a first aperture, while the special technical feature of Group II is the a first set of apertures having an axis extending transversely of a longitudinal axis and a second set of apertures having an axis extending longitudinally of the longitudinal axis. Because the special technical feature of the Group I invention is not present in the Group II claims and because the special technical feature of the Group II invention is not present in the Group I claims, unity of invention is lacking.

**THIS PAGE BLANK (USPTO)**



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**